



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Robert E. Smith, III

Serial No. 10/661,896

Filed: September 12, 2003

For: FLOATING SEAL FOR UNDERSEA  
HYDRAULIC COUPLING

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Group Art Unit

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents  
P O Box 1450  
Alexandria VA 22313-1450

Dear Sir:

Applicant hereby submits the following reference in accordance with 37 C.F.R. § 1.56 and 1.97. Copies of any foreign or publication references cited in the attached PTO-1449 are enclosed.

Respectfully submitted,

Keith Rutherford, Reg. No. 36,262

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832/446-2425  
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CERTIFICATE OF MAILING UNDER 1.8(a)

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<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (use as many sheets as necessary)		<b>Complete if Known</b>			
		Application Number	10/661,896		
		Filing Date	09/12/2003		
		First Named Inventor	Robert E. Smith, III		
		Art Unit			
		Examiner Name			
Sheet		of		Attorney Docket Number	221-0072US

U.S. PATENT DOCUMENTS						
Examiner Initials*	Cite No. <sup>1</sup>	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code <sup>2</sup> (if known)			
		US- 5771927		10/24/1996	Johansen	
		US- 4768538		11/24/1987	Mintz	
		US- 5368070		12/04/1992	Bosley	
		US- 4900071		08/24/1988	Smith, III	
		US- 1872498		08/1932	Rasmussen et al.	
		US- 1928821		10/1933	Santiago	
		US- 2218318		10/1940	Pfauser	
		US- 2265267		12/1941	Cowles	
		US- 2598009		05/1952	Peeps	
		US- 2703719		03/1955	Grotless	
		US- 2730380		01/1956	Espy et al.	
		US- 2735696		02/1956	Omon et al.	
		US- 2825590		04/1958	Sutherland	
		US- 3046026		07/1962	Burrows	
		US- 3089713		05/1963	Scaramucci	
		US- 3111179		11/1963	Albers et al.	
		US- 3215161		11/1965	Goodwin et al.	
		US- 3236251		02/1966	Hansen	
		US- 3291152		12/1966	Comer	
		US- 3331609		07/1967	Moran	

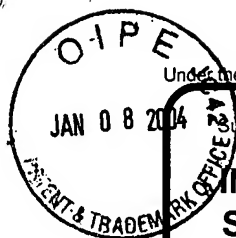
FOREIGN PATENT DOCUMENTS								
Examiner Initials*	Cite No.¹	Foreign Patent Document			Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T⁶
		Office³	Number⁴	Kind⁵ (if known)				
		EP	0024986		03/1981			
		DE2	647885		07/1937			
		FR	663132		10/1928			
		FR	1142462		12/1955			
		FR	76801		11/1958			
		FR	1491524		06/1966			
		CH	343726		07/1956			
		GB	552435		04/1943			
		GB	552682		04/1943			
		GB	677685		08/1952			

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Substitute for form 1449A/PTO		<b>Complete if Known</b>			
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Sheet		of		Attorney Docket Number	221-0072US

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		Number	Kind Code <sup>2</sup> (if known)			
		US- 3348575		10/1967	Simak	
		US- 3507523		04/1970	Cadley	
		US- 3550624		12/1970	Johnson	
		US- 3618690		11/1971	Johnson	
		US- 3707878		01/1973	Treichler	
		US- 3797510		03/1974	Torres et al.	
		US- 4124228		11/1978	Morrison	
		US- 4222411		09/1980	Herzan et al.	
		US- 4348039		09/1982	Miller	
		US- 4452462		06/1984	Karr, Jr.	
		US- 4582295		04/1986	Kugler et al.	
		US- 4637470		01/1987	Weathers et al.	
		US- 4641841		02/1987	Regan	
		US- 4694859		09/1987	Smith	
		US- 4709726		12/1987	Fitzgibbons	
		US- 4754780		07/1988	Smith	
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		US- 5052439		08/18/1989	Smith, III	
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		Office <sup>3</sup>	Number <sup>4</sup>	Kind <sup>5</sup> (if known)				
		GB	819421		09/1959			
		GB	886133		01/1962			
		GB	888143		01/1962			
		GB	1548852		07/1979			
		GB	1564906		04/1980			
		GB	1603670		11/1981			

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		US- 5232021		08/03/1993	Smith	
		US- 5339861		08/23/1994	Smith	
		US- 5390702		02/21/1995	Smith	
		US- 5469887		11/28/1995	Smith	
		US- 5983934		11/16/1999	Smith	
		US- 6206040 B1		03/27/2001	Smith	
		US- 6375153 B2		04/23/2002	Smith	
		US- 6202691 B1		03/20/2001	Smith	
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		US- 4884584		12/05/1989	Smith	
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